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Attorney Docket No. 2950.35US01

AF/1774

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APPEAL BRIEF TRANSMITTAL

In re the application of:

Kambe et al.

Confirmation No.: 8988

Application No.: 09/558,266

Examiner: L. Furguson

Filed: April 25, 2000

Group Art Unit: 1774

For: SELF-ASSEMBLED STRUCTURES

Mail Stop Appeal Brief-Patents
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Transmitted herewith, in triplicate, is the Appeal Brief with Appendices A-D in the above-identified application, with respect to the Notice of Appeal filed on May 6, 2003.

[X] Applicant(s) is/are entitled to small entity status in accordance with 37 CFR 1.27.

[X] A check in the amount of \$160.00 (small entity) to cover the filing fee.

Respectfully submitted,

Peter S. Dardi

Peter S. Dardi, Ph.D.

Registration No. 39,650

Please grant any extension of time necessary for entry; charge any fee due to Deposit Account No. 16-0631.

CERTIFICATE OF MAILING

I hereby certify that this document is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Mail Stop Appeal Brief - Patents, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on

July 7, 2003
Date of Deposit

Peter S. Dardi
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Attorney Docket No. 2950.35US01

REQUEST FOR CONTINUED EXAMINATION
 (RCE) TRANSMITTAL

Box RCE
 Assistant Commissioner for Patents
 Washington, D.C. 20231

Sir:

This is a Request for Continued Examination (RCE) under 37 C.F.R. § 1.114 of Application No. 09/558,266, filed April 25, 2000 for: SELF-ASSEMBLED STRUCTURES, by: Nobuyuki Kambe and Peter S. Dardi.

1. Submission required under 37 C.F.R. § 1.114

a. ☒ Previously submitted

☒ Please enter in the present application the unentered Amendment under 37 C.F.R. § 1.116, with any attachments, filed on June 19, 2002 in said prior application.

☐ Consider the arguments in the Appeal Brief or reply Brief previously filed on _____

☐ Other _____

b. ☐ Enclosed

☐ A Preliminary Amendment is enclosed. Claims added by this Amendment are properly numbered consecutively beginning with the number next following the highest numbered claim in the prior application.

☐ Affidavit(s)/Declaration(s)

☐ Information Disclosure Statement (IDS)

☐ Other _____

2. ☒ The filing fee is calculated below:

	Claims Remaining After Amendment	Highest No. Previously Paid For	Present Extra (Equals)	Small Entity Rate	Add'l Fee	OR	Large Entity Rate	Add'l Fee
Total	27	- [40]**	= 0	x 9	\$0		x 18	\$
Indep.	3	- [4]***	= 0	x 42	\$0		x 84	\$
RCE fee				+ 370	\$370		+ 740	\$
Mult. Dep.			=	+ 140	\$0		+ 280	\$
TOTAL					\$370	OR	TOTAL	\$

☐ First Presentation of Multiple Dependent Claim [MDC]

* If the entry in Column 1 is less than the entry in Column 2, write "0" in Column 3.

** If the "Highest Number Previously Paid For" IN THIS SPACE is less than 20, write "20" in this space.

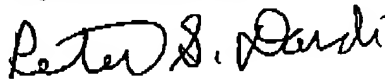
*** If the "Highest Number Previously Paid For" IN THIS SPACE is less than 3, write "3" in this space.

The "Highest Number Previously Paid For" (Total or Independent) is the highest number found from the equivalent box in Column 1 of a prior Amendment or the number of claims originally filed.

RCE of U.S. Application No. 09/558,266
Filed April 25, 2000

3. [X] The Commissioner is hereby authorized to charge the Deposit Account No. 16-0631 for the total amount of \$370.00 for RCE filing fee.

Respectfully submitted,




Peter S. Dardi, Ph.D.
Registration No. 39,650

Please grant any extension of time necessary for entry; charge any fee due to Deposit Account No. 16-0631.

CERTIFICATE OF FACSIMILE TRANSMISSION

I hereby certify that this paper is being transmitted by facsimile to the U.S. Patent and Trademark Office, Fax No. 703-872-9311 on the date shown below thereby constituting filing of said

July 9, 2002
Date


Shari R. Thorndike

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